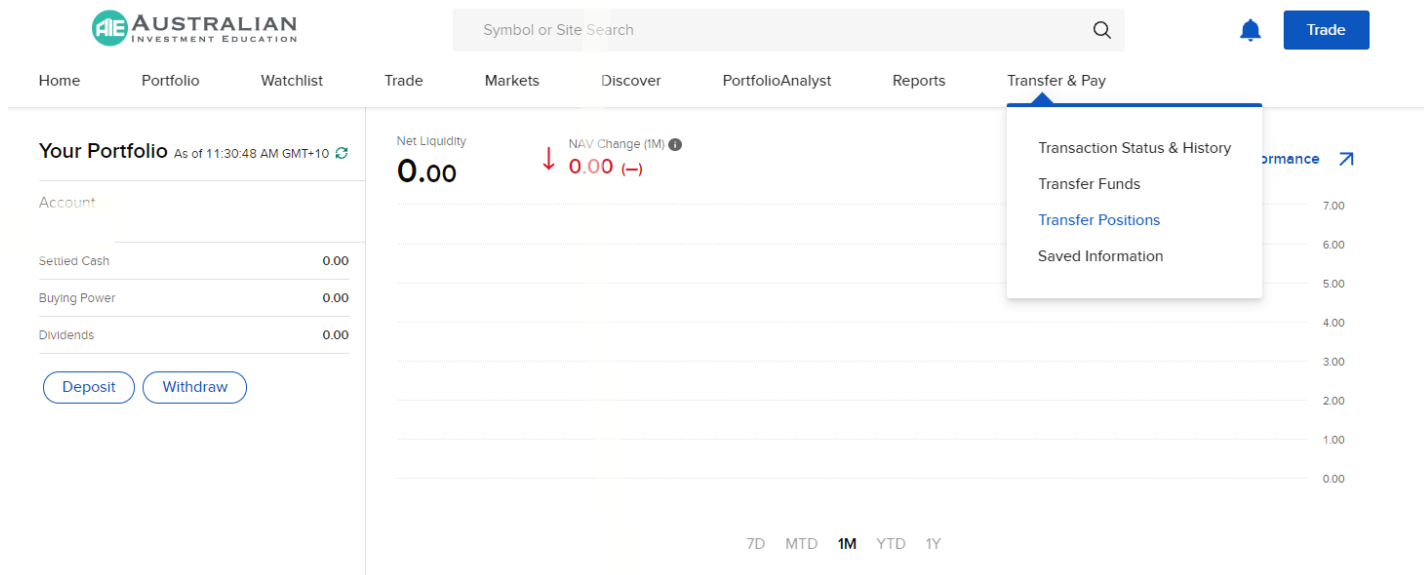


How do I transfer Stock onto my Interactive Brokers Account?

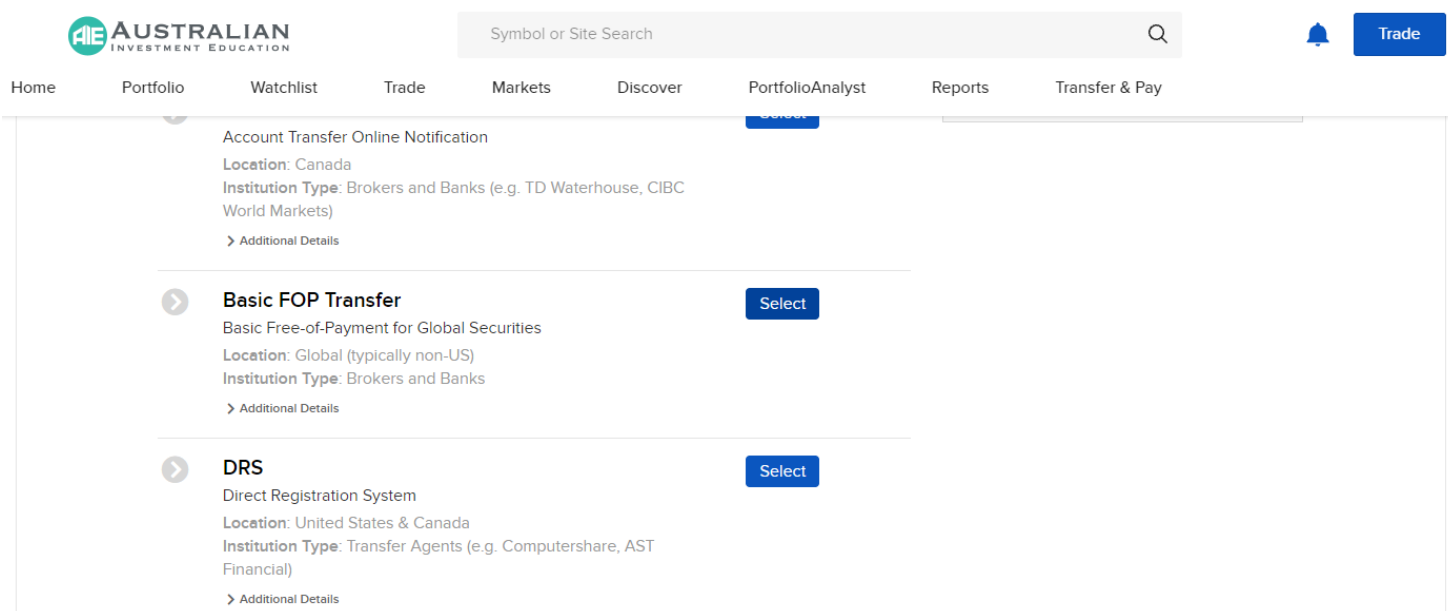
1. Go to your Account management and login with your IB details: here <https://ndcdyn.interactivebrokers.com/sso/Login?RL=1>

2. Under Transfer and Pay, please choose **Transfer Positions**



The screenshot shows the Australian Investment Education website interface. The top navigation bar includes 'Home', 'Portfolio', 'Watchlist', 'Trade', 'Markets', 'Discover', 'PortfolioAnalyst', 'Reports', and 'Transfer & Pay'. The 'Transfer & Pay' menu is open, showing options: 'Transaction Status & History', 'Transfer Funds', 'Transfer Positions', and 'Saved Information'. The 'Transfer Positions' option is highlighted. The main content area displays 'Your Portfolio' with a net liquidity of 0.00 and a NAV change of 0.00 (-). The left sidebar shows account details like 'Settled Cash', 'Buying Power', and 'Dividends'.

3. For All ASX stock Transfers Select **Basic FOP Transfer**



The screenshot shows the 'Transfer & Pay' section of the Australian Investment Education website. It displays three transfer options, each with a 'Select' button:

- Account Transfer Online Notification**
Location: Canada
Institution Type: Brokers and Banks (e.g. TD Waterhouse, CIBC World Markets)
> Additional Details
- Basic FOP Transfer** (Selected)
Basic Free-of-Payment for Global Securities
Location: Global (typically non-US)
Institution Type: Brokers and Banks
> Additional Details
- DRS**
Direct Registration System
Location: United States & Canada
Institution Type: Transfer Agents (e.g. Computershare, AST Financial)
> Additional Details

4. Please enter in the **HOLDING Broker** information of where your stock is currently being held

The screenshot shows the 'Enter Broker Information' form. The fields are as follows:

- Broker: WEALTHUB SECURITIES LIMITED (CHESS ...)
- Account Number at Broker: [Empty]
- Account Title: [Empty]
- Account Type: Trust
- Country: Australia
- Street: 452 Johnston Street
- Street 2 (Apt, Suite, Floor, etc.): Optional
- City: Abbotsford
- State/Province: Victoria

5. Once you have entered in the Holding Broker details, you will begin adding each asset individually

The screenshot shows the 'Assets' section. It includes a table with the following data:

ASSET TYPE	DESCRIPTION	IDENTIFIERS	QUANTITY	
Stock	AURIZON HOLDINGS LTD / ASX	ISIN: AU000000AZJ1 Symbol: AZJ	10000	x
Stock	COMMONWEALTH BANK OF AUSTRAL / ASX	ISIN: AU000000CBA7 Symbol: CBA	500	x

6. Selecting **Stock** to Look up each asset

The screenshot shows the 'Asset Search' dialog box. The 'Asset Type' dropdown menu is open, showing the following options:

- Choose Asset Type
- Option
- Stock
- Warrant
- Bond
- Mutual Fund

7. Searching by Symbol

Asset Search

Specify the details about the asset that you would like to add.

Asset Type: Stock

Search Type: Search by Symbol

Symbol: FLT

Exchange: Optional

Search

8. Making sure to choose the AUD currency for all ASX Stock by clicking on that specific stock

Asset Search

Multiple assets match your criteria. Select the desired asset below.

ASSET TYPE	DESCRIPTION	CURRENCY	IDENTIFIERS
Stock	FLIGHT CENTRE TRAVEL GROUP L / ASX	AUD	ISIN: AU000000FLT9 Symbol: FLT
Stock	FLEETCOR TECHNOLOGIES INC / NYSE	USD	ISIN: US3390411052 Symbol: FLT CUSIP: 339041105
Stock	FLEETCOR TECHNOLOGIES INC / MEXI	MXN	ISIN: US3390411052 Symbol: FLT CUSIP: 339041105
Stock	DRONE DELIVERY CANADA CORP / VENTURE	CAD	ISIN: CA26210W1005 Symbol: FLT CUSIP: 26210W100

Search Again

9. Type is LONG. Please type in the exact amount of stock to be moved over. This will be found on your holding statement

Asset Quantity

Specify the quantity of the selected asset that you would like to add.

Asset Type: Stock

Description: FLIGHT CENTRE TRAVEL GROUP L

Exchange *: ASX

ISIN: AU000000FLT9

Symbol: FLT

Type: Long

Shares: 1,000

* if the exchange/currency is incorrect please re-query using the ISIN and select the appropriate market.

Save and Add More Save and Finish

10. Once you have added all stock to be transferred over please tick save and finish when adding the last asset

11. Type in your Signature to identically match what IB has to the left of the "Sign Here" box

The screenshot shows the Australian Investment Education website interface. At the top, there is a navigation menu with links for Home, Portfolio, Watchlist, Trade, Markets, Discover, PortfolioAnalyst, Reports, and Transfer & Pay. A search bar labeled "Symbol or Site Search" is located in the top right, along with a bell icon and a "Trade" button. Below the navigation, a table lists a stock: WOODSIDE PETROLEUM LIMITED / ASX, with ISIN: AU000000WPL2 and Symbol: WPL, and a quantity of 1500. Below the table, there is a paragraph of text authorizing the transfer of assets to Interactive Brokers. This is followed by a confirmation statement: "By typing my signature and clicking 'Continue' below, I confirm that:". Below this, there are three numbered conditions. Further down, the form asks for the "Account Title:" and "Dated:" (2021-10-27). A "Signature:" field is present, containing a "Sign Here" placeholder and a red "Required" message. At the bottom of the form, there are "Back" and "Continue" buttons.

12. VIEW FORM – SAVE TO YOUR COMPUTER – AND PRINT

The screenshot shows a confirmation message on the Australian Investment Education website. At the top, there is a green checkmark icon and the text "Thank You". Below this, it says "Your Inbound Basic FOP transfer has been confirmed" and "Reference Number: 194088400". A yellow box contains "INSTRUCTIONS" with a list of steps: "Click View Form to access and print the Customer Account Transfer - Letter of Authorization.", "Sign the Letter of Authorization and send it to your third-party (carrying) broker.", and "Instruct your third-party (carrying) broker using the procedure that they have defined. We will not be able to expedite transfers that are not properly instructed at your third-party broker." A "View Form" button is provided. Below the yellow box, there are additional instructions for Asian and European position transfers, both requiring a minimum of 2-5 days for coordination with the third-party broker.

The screenshot shows a list of transaction information and a customer signature section. The transaction information is presented in a table with columns for ASSET TYPE, DESCRIPTION, IDENTIFIERS, and QUANTITY. Below the table, there is a "Customer Signature" section with a "Print" button.

ASSET TYPE	DESCRIPTION	IDENTIFIERS	QUANTITY
Stock	AURIZON HOLDINGS LTD / ASX	ISIN: AU000000AZJ1 Symbol: AZJ	10000
Stock	COMMONWEALTH BANK OF AUSTRAL / ASX	ISIN: AU000000CBA7 Symbol: CBA	500
Stock	FLIGHT CENTRE TRAVEL GROUP L / ASX	ISIN: AU000000FLT9 Symbol: FLT	1000
Stock	FORTESCUE METALS GROUP LTD / ASX	ISIN: AU000000FMG4 Symbol: FMG	900
Stock	HARVEY NORMAN HOLDINGS LIMITED / ASX	ISIN: AU000000HVN7 Symbol: HVN	2500
Stock	NATIONAL AUSTRALIA BANK LIMITED / ASX	ISIN: AU000000NAB4 Symbol: NAB	2300
Stock	NEWCREST MINING LIMITED / ASX	ISIN: AU000000NCM7 Symbol: NCM	800
Stock	OZ MINERALS LTD / ASX	ISIN: AU000000OZL8 Symbol: OZL	2000
Stock	RIO TINTO LIMITED / ASX	ISIN: AU000000RIO1 Symbol: RIO	200
Stock	WORLEY LTD / ASX	ISIN: AU000000WOR2 Symbol: WOR	1500
Stock	WOODSIDE PETROLEUM LIMITED / ASX	ISIN: AU000000WPL2 Symbol: WPL	1500

Customer Signature

Print

13. On the printed form you will need to **HAND SIGN, ADDING you HIN number that correlates with the holding broker. In **ADDITION**, you must add the below details on the form as well:**

Transfer INTO Interactive Brokers Australia Pty Ltd

NO Change in Beneficial Owner

Address: Level 40, Grosvenor Place, 225 George St,
Sydney, NSW 2000

Phone: 02 8093 7300

Chess PID: 01892

Custodian: Paribas Securities Services Australia

BIC: PARBAU2SXXX

14. Please Email the signed copy to helpdesk@australianinvestmanteducation.com.au